UNIVERSITY OF ESWATINI DEPARTMENT OF STATISTICS AND DEMOGRAPHY FINAL EXAMINATION PAPER 2018

TITLE OF PAPER: SAMPLING THEORY

COURSE CODE: STA 305/ST 306

TIME ALLOWED: 2 HOURS

REQUIREMENTS: STATISTICAL TABLES AND CALCULATOR

INSTRUCTIONS

- 1. Answer any three (3) questions
- 2. Show clearly all your working

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A local authority is investigating various aspects of the usage of its public libraries.

(a) A survey of a simple random sample of students in secondary education was undertaken, to determine their use of library services. There are 12 000 such students altogether in this local authority area. One of the survey questions was 'How many times have you visited a public library in the past four weeks?' The results were as follows.

No. of visits in past 4 weeks	Number of students
0	68
1	99
2	50
3	45
4	130
>4	58
Total	450

Obtain a point estimate and an approximate 95% confidence interval for;

- i. the proportion of students in this local authority area who visited a public library in the past 4 weeks, [4 marks]
- ii. the total number of visits made to a public library in the past four weeks by students in this local authority area. You should use a value of 5 for calculating the mean and standard deviation where the number of visits in the past four weeks is 5 or more. [8 marks]
 - (b) Define the following [8 marks]
- i. target population
- ii. sampling unit
- iii. sampling frame
- iv. nonsampling errors.

Wildlife managers want to estimate the total number of caribou in the Nelchina herd located in south central Alaska. The density of caribou differs dramatically in different types of habitat. A preliminary aerial investigation has identified the area used by the herd, and divided it into six strata based on habitat type. For the main survey, the organiser decides to divide the area into sub-areas called quadrats, each of size 4 km^2 . The survey is conducted by selecting a simple random sample of quadrats from each stratum, and for each quadrat the area is searched by aircraft to locate and then photograph the animals; the number of caribou, y, in each quadrat is counted in the photographs.

The sample means and standard deviations of the measurements, y, in each stratum based on a sample of 211 quadrats are as follows.

Stratum (h)	Map quadrats (N_h)	Sample quadrats (n_h)	Sample mean	Sample standard deviation 74.7		
1	400	98	24.1			
2	40	10	25.6	63.7		
3	100	37	267.6	589.5		
4	40	6	179.0	151.0		
5	70	39	293.7	351.5		
6	120	21	33.2	99.0		
Total	770	211				

- (a) The sampling frame for this survey is a land map. Discuss briefly what problems are likely to be associated with this type of sample. [4 marks]
- (b) The formula for the variance of the estimator of a population total based on a stratified (random) sample is

$$V = \sum_{h=1}^{L} N_h (N_h - n_h) \frac{S_h^2}{n_h}$$

Define the terms N_h , S_h and n_h in the formula above. [2 marks]

- (c) Using the data above, estimate the total number of caribou in the herd and obtain an approximate 95% confidence interval for this total. [7 marks]
- (d) For this survey discuss briefly the merits of using stratified sampling rather than simple random sampling. [4 marks]

(e) Given that stratified sampling is used for this survey, discuss briefly the merits of using optimal rather than proportional allocation. [3 marks]

Question 3

Let $S = \{s_1, s_2, ..., s_M\}$ consists of M different samples that can be possibly be drawn from the population U. Consider a population with 4 elements,

$$U = \{u_1, u_2, u_3, u_4\}.$$

A sample of size n = 2 is to be drawn from the population.

- (a) Consider for the moment the case of simple random sampling
 - i. Obtain M = |S|. [2 marks]
- ii. Obtain π_k . [2 marks]
- iii. Obtain the sum of all inclusion probabilities of elements $k \in U$. [2 marks]
- (b) Consider the following sampling design: $S_n = \{s_1, s_2, s_3\}$ where

$$s_1 = \{u_1, u_3\}, \ s_2 = \{u_1, u_4\}, \ s_3 = \{u_2, u_4\}.$$

Assume the following probabilities for the samples

$$p(s_1) = 0.1, p(s_2) = 0.6, p(s_3) = 0.3$$

- i. Obtain all inclusion probabilities π_k . [6 marks]
- ii. Obtain numerically the sum of inclusion probabilities. [2 marks]
- iii. Obtain all inclusion probabilities $\pi_{k,l}$. [6 marks]

A small survey was carried out in a Sub-Saharan country to estimate the total number of bunches of bananas produced in a district during a given growing period. The district was divided into 289 primary units such that each unit had about 500 to 1000 banana pits. Each pit may produce 0, 1 or more bunches of bananas. The total number of banana pits for the whole district was known to be 181 336. A simple random sample of 20 primary units was selected from the 289 units, and for each unit the number of banana pits (x) and the total number of banana bunches (y) were obtained. The results for n = 20 are summarised below.

,	Mean	SD
Number of banana pits per unit (x)	644.35	115.9025
Total number of banana bunches per unit (y)	901.70	221.8112

- i. Using the mean of a simple random sample, estimate the total number of banana bunches for the district, and estimate the standard error of your estimator. [5 marks]
- ii. The researcher seeks your advice on how he might use the supplementary data on the numbers of banana pits per unit to estimate the total number of banana bunches in the district. He has estimated the correlation between the number of banana pits per unit and the total number of banana bunches per unit (i.e. between x and y above) and thinks a ratio estimator might be suitable.
 - (a) Explain what is meant by *correlation*. Given that the estimated correlation is 0.7737, how would you respond? Briefly discuss the properties of the ratio estimator and the estimator based on the sample mean in part (i). [5 marks]
 - (b) Give the ratio estimate of the total number of banana bunches in the district and its estimated standard error. Hence, giving a reason, say whether this estimate is better than that calculated in part (i), and use it to construct an approximate 95% confidence interval for the true total number of banana bunches in the district. Explain what this confidence interval shows. [10 marks]

A simple random sample of 10 hospitals was selected from a population of 33 hospitals that had received state funding to upgrade their emergency medical services. Within each of the selected hospitals, the records were examined for all patients hospitalised in the past 12 months for trauma, which is defined as body wounds or shock produced by sudden physical injury due to accident or violence. The numbers of patients hospitalised for trauma, and the numbers of patients with trauma who were discharged dead, for the selected hospitals are given below.

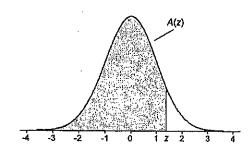
Hospital	Number of patients hospitalized for trauma	Number with trauma discharged death
1	560	4
2	190	4
3	260	2
4	370	4
5	190	4
6	130	0
7	170	9
8	170	2
9	60	0
10	110	1

- (a) Explain why this design may be considered as a cluster sample. What are the first-stage and second-stage units? [2 marks]
- (b) Obtain a point estimate and an approximate 95% confidence interval for the total number of persons hospitalised for trauma conditions for the 33 hospitals. State the properties of your estimator. [6 marks]
- (c) Obtain a point estimate of the proportion of persons discharged dead among those hospitalised for trauma conditions for the 33 hospitals, using the cluster totals. Hence calculate an approximate 95% confidence interval for this proportion, and comment on the validity of the assumptions necessary for this calculation. [6 marks]
- (d) Give reasons why, for this survey, cluster sampling might be preferred to stratified random sampling.

What might be the drawbacks of cluster sampling? Discuss, with reasons, any improvements you might make if another survey was being planned on the same topic. $[6 \ marks]$

Table A.1

Cumulative Standardized Normal Distribution



A(z) is the integral of the standardized normal distribution from $-\infty$ to z (in other words, the area under the curve to the left of z). It gives the probability of a normal random variable not being more than z standard deviations above its mean. Values of z of particular importance:

z	A(z)	,
1.645	0.9500	Lower limit of right 5% tail
1.960	0.9750	Lower limit of right 2.5% tail
2.326	0.9900	Lower limit of right 1% tail
2.576	0.9950	Lower limit of right 0.5% tail
3.090	0,9990	Lower limit of right 0.1% tail
3.291	0.9995	Lower limit of right 0.05% tail

	ž	0,00	0.01	0.02	0.03	0.04	0.05	0.06	0,07	0.08	0.09
Section States	0.0	0.5000	0.5040	0.5080	[∞] 0.5120.	0.5160	0.5199	0.5239	0.5279	0.5319	0,5359
4	0.1	0.5398	0.5438	0.5478	0,5517	0.5557	0.5596	0.5636	0.5675	0.5714	0,5753
	0.2	0.5793	0.5832	0.5871	0.5910	0.5948	0.5987	0.6026	0.6064	0.6103	0,6141
N. J. M. 42, 111	0.3	0.6179	0.6217	0.6255	0:6293	0.6331	0.6368	0.6406	0.6443	0.6480	0.6517
TAME AND ANY OFFICE		0.6554	0.6591	0.6628	0.6664	0.6700	0.6736	0.6772	0.6808	0.6844	0.6879
	0.5	0.6915	0.6950	0.6985	0.7019	0.7054	0.7088	0.7123	0.7157	0.7190	0.7224
A Fotophison	0.6	.0.7257	0,7291.	0.7324	0.7357	0.7389	.0,7422	0.7454	0.7486	0.7517	0.7549
Page of profit office	0.7	0.7580	0.7611	0.7642	0.7673	0.7704	0.7734	0.7764	0.7794	0.7823	0.7852
	0.8	0.7881	0,7910	0.7939	0.7967	0.7995	0.8023	0.8051	0.8078	0.8106	0.8133
	0.9	0.8159	0.8186	0.8212	0.8238	0.8264	0,8289	0.8315	0.8340	0.8365	0,8389
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31, 11, 12, 17, 17, 19, 1	''î.r - '	~0,8643~	0.8665	0.8686	0.8708	0.8729	0.8749	0.8770	0.8790	0.8810	0.8830
	1.2	0.8849	0.8869	0.8888	0.8907	0.8925	0,8944	0.8962	0.8980	0.8997	0.9015
	1.3	0.9032	0.9049	0.9066	0.9082	0.9099	0.9115	0.9131	0.9147	0.9162	0.9177
	1.4	0.9192	0.9207	0.9222	0.9236	0.9251	0.9265	0.9279	0,9292	0.9306	0.9319
	1.5	0.9332	0.9345	0.9357	0.9370	0.9382	0.9394	0.9406	0.9418	0.9429	0.9441
	1.6	0.9452	0,9463	0.9474	0.9484	0.9495	0.9505	0.9515	0.9525	0.9535	0.9545
	1.7	0.9554	0.9564	0.9573	0,9582	0.9591	0.9599	0.9608	0.9616	0.9625	0.9633
	1.8	0.9641	0.9649	0.9656	0.9664	0.9671	0.9678	0.9686	0,9693	0.9699	0.9706
	1.9	0.9713	0.9719	0.9726	0.9732	0.9738	0.9744	0.9750	0.9756	0.9761	0.9767
	2.0	0.9772	0.9778	0.9783	0.9788	0.9793	0.9798	0.9803	0.9808	0.9812	0.9817
	2.1	0.9821	0.9826	0.9830	0.9834	0.9838	0.9842	0.9846	0.9850	0.9854	0.9857
	2.2	0.9861	0.9864	0.9868	0.9871	0.9875	0.9878	0.9881	0.9884	0.9887	0.9890
	2.3	0.9893	0.9896	0.9898	0.9901	0.9904	0.9906	0.9909	0.9911	0.9913	0.9916
	2.4	0.9918	0.9920	0.9922	0.9925	0.9927	0.9929	0.9931	0.9932	0.9934	0.9936
	2.5	0,9938	0.9940	0.9941	0.9943	0.9945	0.9946	0.9948	0.9949	0.9951	0.9952
	2.6	0.9953	0.9955	0.9956	0.9957	0.9959	0.9960	0.9961	0.9962	0.9963	0.9964
	2.7	0.9965	0.9966	0.9967	0.9968	0,9969	0.9970	0.9971	0.9972	0.9973	0,9974
	2.8	0.9974	0,9975	0.9976	0.9977	0.9977	0.9978	0.9979	0.9979	0.9980	0.9981
	2.9	0.9981	0.9982	0.9982	0.9983	0,9984	0.9984	0.9985	0.9985	0.9986	0.9986
	3.0	0.9987	0.9987	0.9987	0.9988	0.9988	0.9989	0.9989	0.9989	0.9990	0.9990
	3.1	0.9990	0.9991	0.9991	0.9991	0.9992	0.9992	0.9992	0.9992	0.9993	0.9993
	3,2	0.9993	0.9993	0.9994	0.9994	0.9994	0.9994	0.9994	0.9995	0.9995	0.9995
· · ·	3,3 '	0.9995	0.9995	0.9995	0.9996	0.9996	0.9996	0,9996	0.9996	0.9996	0.9997
	3.4	0,9997	0.9997	0.9997	0.9997	0.9997	0.9997	0.9997	0.9997	0.9997	0.9998
	3.5	0.9998	0.9998	0.9998	0.9998	0.9998	0.9998	0.9998	0.9998	0.9998	0.9998
	3.6	0.9998	0,9998	0,9999							

TABLE A.2 f Distribution: Critical Values of t

	1				Significa	ince level			
	Degrees of freedom	Two-tailed test: One-tailed test:	10% 5%	5% 2.5%	2% 1%	1% 0.5%	0.2% 0.1%	0.1% 0.05%	
	1 2		6.314 2.920	12,706 4,303	31.821 6.965	63,657 9,925	318,309 22,327	636.619 31.599	
	3		2.353	3.182	4,541	5.841	10.215	12,924	
•	4		2,132	2.776	3,747	4,604	7.173	8.610	
	5		2.015	2.571	3.365	4.032	5,893	6.869	
	6		1.943	2.447	3.143	3.707	5.208	5.959	
•	7		1.894	2.365	2,998	3,499	4.785	5.408	
	8 9		1.860	2,306	2.896	3.355	,4.501	5,041	
	10		1.833 1.812	2,262 2,228	2,821 2.764	3.250 3.169	4.297 4.144	4.781 4.587	
	11 12		1.796 1.782	2.201 2.179	2.718 2.681	3,106	4.025	4.437	
	13		1.771	2.179	2.650	3.055 3.012	3.930 3.852	4.318 4.221	
	14		1.761	2.145	2.624	2,977	3,787	4.140	•
	15		1.753	2.131	2.602	2.947	3.733	4.073	·
	16		1.746	2,120	2.583	2.921	3,686	4.015	
	**************************************	u kontrakti kantanili	. 1.740	2.110	2.567	2.898	. 3,646,	3.965.	endicano les efecte esta bestir collectivos.
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	20	Application of the control of the co	1.729 1.725	2,093 2,086	2.539 2,528	2.861 2.845	3.579 3.552	3,883 3,850	•
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	22		1.721 1.717	2,080 2.074	2.518 2.508	2,831	3.527	3.819	The second secon
	23	ariji ya ya	1.714	2.069	2.500	2,819 2,807	3,505 . 3,485	3.792 3.768	
	23 24		1.711	2,064	2,492	2.797	3.467	3.745	
•	25		1.708	2.060	2.485	2.787	3,450	3.725	-
4.	26 27	والمعاومة المراجعين	1.706	2,056	2.479 - 2.473	2,779	3.435	3.707.	A
•			ें:1,703	2.052		2.771		3,690 · · · ·	than ann an Airte an Airte an Airte an Ai
	28 29		1.701 1.699	2.048 2.045	2,467 2,462	2.763 2.756	3.408	3.674 3.659	
	30		1.697	2.042	2.457	2.750	3.396 3.385	3.646	
	32		1.694	2.037	2,449	2.738	3.365	3.622	
	34		1.691	2.037	2,441	2.728	3,348	3.601	•
	36		1.688	2,028	2.434	2.719	3.333	3.582	
	38		1.686	2,024	2.429	2,712	3,319	3.566	
	40		1.684	2.021	2.423	2.704	3.307	3.551	•
	42		1.682	2.018	2.418	2.698	3.296	3.538	
	44		1.680	2.015	2.414	2.692	3.286	3.526	
	46 48		1.679 1.677	2.013 2.011	2.410 2.407	2.687 2.682	3.277	3,515	
	50		1.676	2.009	2.407	2.678	3.269 3.261	3.505 3.49 6	
	60		1.671	2.000					
	70		1.667	1.994	2.390 2.381	2.660 2.648	3,232 3,211	3.460 3.435	
	80		1.664	1.990	2.374	2.639	3.195	3.416	
	90		1.662	1.987	2.368	2,632	3,183	3.402	
	100		1,660	1.984	2.364	2,626	3.174	3,390	
	120	•	1.658	1.980	2.358	2.617	3.160	3,373	•
		*	1.655	1.976	2.351	2,609	3.145	3.357	
	200 300		1.653	1,972	2,345	2,601	3.131	3.340	
	400		1,650 1.649	1,968 1.966	2,339 2,336	2.592 2.588	3.118 3.111	3.323 3,315	
	500 600		1.648 1.647	1.965 1.964	2.334 2.333	2.586 2.584	3.107 3.104	3,310 3,307	
	ου •		1.645	1.960	2,335				
	w		1.043	1.700	2.340	2.576	3.090	3.291	

Useful formulas

$$s^{2} = \frac{\sum_{i=1}^{n}(y_{i} - \bar{y})^{2}}{n-1}$$

$$\sum_{i=1}^{n}(y_{i} - \bar{y})^{2} = \sum_{i=1}^{n}y_{i}^{2} - \frac{\sum_{i=1}^{n}y_{i}}{n}$$

$$\hat{\mu}_{srs} = \bar{y}$$

$$\hat{\nu}(\hat{\mu}_{srs}) = \left(\frac{N-n}{N}\right) \frac{s^{2}}{n}$$

$$\hat{\nu}(\hat{\tau}_{srs}) = N\hat{\mu}_{srs}$$

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$$\hat{\tau}(\hat{\tau}_{srs}) = \frac{1}{N$$

$$\hat{\tau}_{cl} = \frac{M}{nL} \sum_{i=1}^{n} \sum_{j=1}^{L} y_{ij} = \frac{N}{n} \sum_{i=1}^{n} \sum_{j=1}^{L} y_{ij} = \frac{N}{n} \sum_{i=1}^{n} y_{i} = N\bar{y}$$

$$\hat{\mu}_{cl} = \frac{1}{nL} \sum_{i=1}^{n} \sum_{j=1}^{L} y_{ij} = \frac{1}{nL} \sum_{i=1}^{n} y_{i} = \frac{\bar{y}}{L} = \frac{\hat{\tau}_{cl}}{M}$$

where $ar{y} = rac{1}{n} \sum_{i=1}^n y_i = rac{\hat{ au}_{al}}{N}$

$$\hat{V}(\hat{\tau}_{cl}) = N(N-n)\frac{s_u^2}{n} \qquad \qquad \hat{V}(\hat{\mu}_{cl}) = \frac{N(N-n)}{M^2} \frac{s_u^2}{n}$$

where $s_u^2 = \frac{\sum_{i=1}^n (y_i - \bar{y})^2}{n-1}$.

$$\hat{\mu}_1 = \bar{y} = \frac{\hat{\tau}_{cl}}{N} \qquad \qquad \hat{\mathsf{V}}(\hat{\mu}_1 = \frac{N-n}{N} \frac{s_u^2}{n}$$

The formulas for systematic sampling are the same as those used for one-stage cluster sampling. Change the subscript *cl* to *sys* to denote the fact that data were collected under systematic sampling.

$$\hat{\mu}_{c(a)} = \frac{\sum_{i=1}^{n} y_{i}}{\sum_{i=1}^{n} M_{i}} = \frac{\sum_{i=1}^{n} y_{i}}{m} \qquad \hat{V}(\hat{\mu}_{c(a)}) = \frac{(N-n)N}{n(n-1)M^{2}} \sum_{i=1}^{n} M_{i}^{2} (\bar{y} - \hat{\mu}_{c(a)})^{2}$$

$$\hat{\mu}_{c(b)} = \frac{N}{M} \frac{\sum_{i=1}^{n} y_{i}}{n} = \frac{N}{nM} \sum_{i=1}^{n} y_{i} \qquad \hat{V}(\hat{\mu}_{c(b)}) = \frac{(N-n)N}{n(n-1)M^{2}} \sum_{i=1}^{n} (y_{i} - \bar{y})^{2} = \frac{(N-n)N}{nM^{2}} s_{u}^{2}$$

$$\hat{p}_{c} = \frac{\sum_{i=1}^{n} p_{i}}{n} \qquad \hat{V}(\hat{p}_{c}) = \left(\frac{N-Nn}{nN}\right) \sum_{i=1}^{n} \frac{(p_{i} - \hat{p}_{c})^{2}}{n-1} = \left(\frac{1-f}{n}\right) \sum_{i=1}^{n} \frac{(p_{i} - \hat{p}_{c})^{2}}{n-1}$$

$$\hat{p}_{c} = \frac{\sum_{i=1}^{n} y_{i}}{\sum_{i=1}^{n} M_{i}} \qquad \hat{V}(\hat{p}_{c}) = \left(\frac{1-f}{n\bar{m}^{2}}\right) \frac{\sum_{i=1}^{n} (y_{i} - \hat{p}_{c}M_{i})^{2}}{n-1}$$

To estimate τ , multiply $\hat{\mu}_{c(\cdot)}$ by M. To get the estimated variances, multiply $\hat{V}(\hat{\mu}_{c(\cdot)})$ by M^2 . If M is not known, substitute M with $N\bar{m}=Nm/n$. $\bar{m}=\sum_{i=1}^n M_i/n$.

$$n \text{ for } \mu \text{ SRS} \qquad n = \frac{N\sigma^2}{(N-1)(d^2/z^2) + \sigma^2}$$

$$n \text{ for } \tau \text{ SRS} \qquad n = \frac{N\sigma^2}{(N-1)(d^2/z^2N^2) + \sigma^2}$$

$$n \text{ for } p \text{ SRS} \qquad n = \frac{Np(1-p)}{(N-1)(d^2/z^2) + p(1-p)}$$

$$n \text{ for } \mu \text{ SYS} \qquad n = \frac{N\sigma^2}{(N-1)(d^2/z^2) + \sigma^2}$$

$$n \text{ for } \tau \text{ SYS} \qquad n = \frac{N\sigma^2}{(N-1)(d^2/z^2N^2) + \sigma^2}$$

$$n \text{ for } \tau \text{ SYS} \qquad n = \frac{\sum_{h=1}^L N_h^2(\sigma_h^2/w_h)}{N^2(d^2/z^2) + \sum_{h=1}^L N_h\sigma_h^2}$$

$$n \text{ for } \tau \text{ STR} \qquad n = \frac{\sum_{h=1}^L N_h^2(\sigma_h^2/w_h)}{N^2(d^2/z^2N^2) + \sum_{h=1}^L N_h\sigma_h^2}$$

where $w_h = \frac{n_h}{n}$.
Allocations for STR μ :

$$n_{h} = (c - c_{0}) \left(\frac{N_{h}\sigma_{h}/\sqrt{c_{h}}}{\sum_{k=1}^{L} N_{k}\sigma_{k}\sqrt{c_{k}}} \right) \qquad n = \frac{\left(\sum_{k=1}^{L} N_{k}\sigma_{k}\sqrt{c_{k}}\right) \left(\sum_{k=1}^{L} N_{k}\sigma_{k}/\sqrt{c_{k}}\right)}{N^{2}(d^{2}/z^{2}) + \sum_{k=1}^{L} N_{k}\sigma_{k}^{2}}$$

$$n_{h} = n \left(\frac{N_{h}}{N}\right) \qquad n = \frac{\sum_{k=1}^{L} N_{k}\sigma_{k}}{N^{2}(d^{2}/z^{2}) + \frac{1}{N}\sum_{k=1}^{L} N_{k}\sigma_{k}^{2}}$$

$$n_{h} = n \left(\frac{N_{h}\sigma_{h}}{\sum_{k=1}^{L} N_{k}\sigma_{k}}\right) \qquad n = \frac{\left(\sum_{k=1}^{L} N_{k}\sigma_{k}\right)^{2}}{N^{2}(d^{2}/z^{2}) + \sum_{k=1}^{L} N_{k}\sigma_{k}^{2}}$$

Allocations for STR τ :

change $N^2(d^2/z^2)$ to $N^2(d^2/z^2N^2)$

Allocations for STR p:

$$n_h = n \left(\frac{N_i \sqrt{p_h(1 - p_h)/c_h}}{\sum_{k=1}^L N_k \sqrt{p_k(1 - p_k)/c_k}} \right) \qquad n = \frac{\sum_{k=1}^L N_k p_k(1 - p_k)/w_k}{N^2(d^2/z^2) + \sum_{k=1}^L N_k p_k(1 - p_k)}$$

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